



Nov. 4 2004 4:15PM

BASF SOUTHFIELD PATENT DEPT

No.2391 P. 2

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26922 7590 10/04/2004

BASF CORPORATION  
ANNE GERRY SABOURIN  
26701 TELEGRAPH ROAD  
SOUTHFIELD, MI 48034-2442  
11/05/2004 HBEYENEE 00000061 021201 09963951

01 FC:1501 1370.00 DA  
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Marjorie Ellis	(Depositor's name)
	(Signature)
11/4/04	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/963,951	09/25/2001	Joseph F. Michalco	IN-5487	1068

TITLE OF INVENTION: POLYMERIC PIGMENT DISPERSANT UTILIZED AS A GRIND RESIN FOR PIGMENTS AND METHOD OF PREPARING THE SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1670	01/04/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
CAIN, EDWARD J	1714	524-601000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE  
BASF Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)  
26701 Telegraph Road  
Southfield, Michigan 48034-2442  
USA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

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☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 02-1201 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Anne G. Sabourin

Date 11-4-04

Typed or printed name Anne Gerry Sabourin

Registration No. 33,772

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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4. 2004 4:15PM BASF SOUTHFIELD PATENT DEPT

No.2391 P. 1

PATENT

(Practitioner's Docket No. IN-5487)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

MICHALEC, et al.

US Serial No.: 09/963,951

Filed: 09/25/2001

For: POLYMERIC PIGMENT DISPERSANT  
UTILIZED AS A GRIND RESIN FOR  
PIGMENTS AND METHOD OF PREPARING  
THE SAME

Group Art Unit: 1714

Examiner: CAIN, EDWARD J.

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Fee, Commissioner for Patents, P.O. BOX 1450, Alexandria,  
Virginia 22313-1450, on the date shown below to Facsimile  
number (703) 746-4000

November 4, 2004

Date

Marjorie Ellis

Mail Stop ISSUE FEE  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

CERTIFICATE OF FACSIMILE TRANSMISSION

Enclosed are:

☒ Part B- Fee(s) Transmittal submitted in duplicate

☐

Pages

2

Total pages 03.